

6/27/05

| | | | |
|--|--|-------------------------------|--------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b)) | | ATTY. DOCKET NO. 2002DE118 | SERIAL NO. 10/519,218 |
| APPLICANT: Olaf Schupp et al. | | | |
| FILING DATE: 12/17/2004 | | GROUP: | |

U.S. PATENT DOCUMENTS

| Examiner Initial | | Patent Number | Issue Dte | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|----|---------------|-----------|-------------|-------|----------|----------------------------|
| FTP | AA | 3956266 | 5/11/76 | Mory et al. | _____ | _____ | |
| | AB | 5428136 | 6/27/95 | Jung et al. | ↓ | ↓ | |
| | AC | 5559216 | 9/24/96 | Jung et al. | ↓ | ↓ | |
| | AD | 5560760 | 10/1/96 | Toeppen | ↓ | ↓ | |
| | AE | 6207809 | 3/27/01 | Nesler | ↓ | ↓ | |
| | AF | 5030247 | 7/9/91 | Goldmann | ↓ | ↓ | |
| FTP | AG | 6504045 | 1/7/03 | Jung et al. | _____ | _____ | |
| | AH | | | | | | |

FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION

| | | DOCUMENT NUMBER | PUB. DATE | COUNTRY | | | TRANSLATION |
|-----|----|-----------------|-----------|---------------|-------|-------|------------------|
| FTP | BA | DE 2451097 | 5/7/75 | Germany | _____ | _____ | See AA above |
| | BB | DE 4225295 | 2/3/94 | Germany | ↓ | ↓ | See AB above |
| | BC | DE 2329781 | 1/23/75 | Germany | ↓ | ↓ | See BF below |
| | BD | FR 2237937 | 2/14/75 | France | ↓ | ↓ | English Abstract |
| | BE | DE 4229207 | 3/3/94 | Germany | ↓ | ↓ | See AC above |
| FTP | BF | GB 1472137 | 5/4/77 | Great Britian | _____ | _____ | English |
| | BG | | | | | | |
| | BH | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication**)

| | | |
|---|----|---|
| FTP | CA | PCT ISR for PCT/EP03/05520, mailed 8/10/2003 |
| FTP | CB | English Translation of PCT IPER for PCT/EP 03/05520, mailed 4/28/2005 |
| | CC | |
| | CD | |
| | CE | |
| | CF | |
| | CG | |
| | CI | |
| EXAMINER | | /Fiona T. Powers/ |
| DATE CONSIDERED | | 01/04/2007 |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |

OCT 18 2006

PTO/SB/08A (05-03)

Approved for use through 07/31/2006. CMB 0851-0051
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|--------------------------|------------------------|-----------|
| Substitute for Form 1448/PTO | | | Complete if Known | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | Application Number | 10/519,218 | |
| | | | Filing Date | December 17, 2004 | |
| | | | First Named Inventor | Olaf Schupp et al. | |
| | | | Art Unit | | |
| | | | Examiner Name | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 2002DE118 |

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | <input type="checkbox"/> |
|--------------------|-----------------------|--|--------------------------|
| FTP | CA | U.S.W. Serial No. 10/479,344; by Weber et al., filed 5/12/2006. | <input type="checkbox"/> |
| | CB | | <input type="checkbox"/> |
| | CC | | <input type="checkbox"/> |
| | CD | | <input type="checkbox"/> |
| | CE | | <input type="checkbox"/> |
| | CF | | <input type="checkbox"/> |
| | CG | | <input type="checkbox"/> |
| | CH | | <input type="checkbox"/> |
| | | | |

| | | | |
|--------------------|-------------------|-----------------|------------|
| Examiner Signature | /Fiona T. Powers/ | Date Considered | 01/04/2007 |
|--------------------|-------------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, MA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-6189 (1-800-786-6189) and select option 2.

10/5/9,218

2006/OCT/18/WED 07:59 AM

IP LEGAL - CLARIANT

FAX No. 704 331 7707

P. 004

RECEIVED
CENTRAL FAX CENTER
OCT 18 2006

LOSURE
ICANT

| | |
|------------------------|--------------------|
| Filing Date | December 17, 2004 |
| First Named Inventor | Olaf Schupp et al. |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 2002DE118 |

1

| Author (in CAPITAL LETTERS), title of the article, (when appropriate), title of gazette, journal, serial, symposium, catalog, etc., date, page(s), volume-issue number(s), publisher, city and/or country where published. | FTP | |
|--|--------------------------|--|
| 0/479,344; by Weber et al., filed 5/12/2006. | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |
| | <input type="checkbox"/> | |

PAGE 4/22 * RCVD AT 10/18/2006 7:46:04 AM [Eastern Daylight Time] * SVR:USPTO-EFAXRF-1/1 * DNIS:2738300 * CSID:704 331 7707 * DURATION (mm-ss):04-24

/Fiona T. Powers/

01/04/2007

| | |
|------|------------|
| Date | Considered |
|------|------------|

Author or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and need communication to applicant.
or (optional). Applicant is to place a check mark here if English language Translation is attached.
CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to submitting the completed application form to the USPTO. Time will vary depending upon the individual case.

BEST AVAILABLE COPY